

Self-Certified Sophisticated Investor Certificate

STATEMENT FOR SELF-CERTIFIED SOPHISTICATED INVESTOR

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

I understand that this means:

- (a) I can receive financial promotions that may not have been approved by the Financial Conduct Authority;**
- (b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority;**
- (c) by signing this statement I may lose significant rights;
- (d) I may have no right to complain to either of the following:**
 - (i) the Financial Conduct Authority; or**
 - (ii) the Financial Ombudsman Scheme;**
- (e) I may have no right to seek compensation from the Financial Services Compensation Scheme;**

I am a self-certified sophisticated investor because **at least one of the following applies:**

- (f) I am a member of a network or syndicate of business angels and have been so for at least six months prior to the date below;**
- (g) I have made more than one investment in an unlisted company in the two years prior to the date below;**
- (h) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises; or**
- (i) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.**

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Signature

Print

Date